

Australian Financial Services Licence

TITAN SECURITIES PTY LTD

ACN: 120951608

Licence No: 307040

is hereby licensed as an Australian Financial Services Licensee pursuant to section 913B of the Corporations Act 2001 subject to the conditions and restrictions which are prescribed, and to the conditions contained in this licence and attached schedules.

Effective 3 January 2007

Authorisation

1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide financial product advice for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (ii) derivatives;
 - (iii) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (iv) interests in managed investment schemes excluding investor directed portfolio services; and
 - (v) securities; and
 - (b) deal in a financial product by:
 - (i) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) derivatives;
 - (C) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (D) interests in managed investment schemes excluding investor directed portfolio services; and
 - (E) securities;
- to retail and wholesale clients.



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was licensed as an Australian Financial Services Licensee pursuant to section 913B of the Corporations Act 2001. The conditions of the licence are hereby varied from the date hereunder. The licensee shall continue to be licensed as an Australian Financial Services Licensee subject to the conditions and restrictions which are prescribed, and to the conditions contained in this licence and attached schedules.

Effective 6 January 2009

Authorisation

1. This licence authorises the licensee to carry on a financial services business to:
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ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

TITAN SECURITIES PTY LTD
AFS Licence Number 307040

Extracted from ASIC's database at AEST 14:28:46 on 08/03/2017

Current Details	
Name:	TITAN SECURITIES PTY LTD
Licence Number:	307040
Status:	Current
ABN:	51 120 951 608
Commenced:	03/01/2007
Addresses	
Principal Business Address:	20 Wells Street, BELLEVUE WA 6056
Service Address:	[REDACTED]
Trading Name(s)	
Name:	TITAN FUTURES
Commenced:	03/01/2007
Name:	TITAN COMMODITIES
Commenced:	03/01/2007
Name:	TITAN CORPORATE FINANCE
Commenced:	02/09/2010
Roles	
Role:	Auditor of FS Licensee
Name:	WALKER WAYLAND WA AUDIT PTY LTD
Commenced:	18/08/2016
External Dispute Resolution	
Scheme:	Financial Ombudsman Service
Membership Number:	12525
Commenced:	01/07/2008
Licence Authorisation Conditions	
From:	06/01/2009
Details:	1. This licence authorises the licensee to carry on a financial services business to: (a) provide financial product advice for the following classes of financial products: (i) deposit and payment products limited to: (A) basic deposit products; (ii) derivatives; (iii) debentures, stocks or bonds issued or proposed to be issued by a government; (iv) interests in managed investment schemes excluding investor directed portfolio services; and (v) securities; and (b) deal in a financial product by: (i) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products: (A) deposit and payment products limited to:



ASIC

Australian Securities & Investments Commission

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TITAN SECURITIES PTY LTD
AFS Licence Number 307040

Licence Authorisation Conditions

- (1) basic deposit products;
 - (B) derivatives;
 - (C) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (D) interests in managed investment schemes excluding investor directed portfolio services; and
 - (E) securities;
- to retail and wholesale clients.

From: 03/01/2007

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
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Further information relating to this Licensee may be purchased from ASIC.